

# Einstein-Bogomol'nyi equation and Gravitating Vortex equations on Riemann surfaces

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# I. Motivations and Backgrounds

- Kähler Yang-Mills theory;

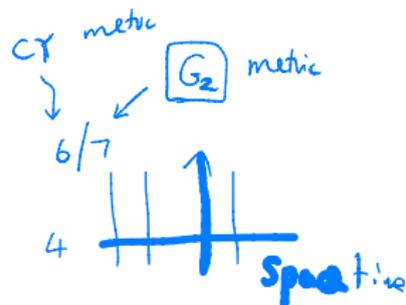
*Dimension reduction solution: Gravitating vortices*

- Einstein-Maxwell-Higgs theory.

*String-like solution: Einstein-Bogomol'nyi equation*

↑  
Kähler:  $\tilde{G}$

$$\left\{ \begin{array}{l} \text{Ric } g - \frac{1}{2} Rg = \Lambda g + T \rightarrow T_{\mu\nu} \\ T=0 \Rightarrow \text{Ric } g = 0. \\ T \neq 0. \quad \underline{U(1), SU(2), SU(3)}. \\ A. \end{array} \right.$$



# Kähler-Yang-Mills Equations

Introduced by Álvarez-Cónsul, Garcia-Fernandez and García-Prada

Let  $\underline{E} \rightarrow \underline{X}$  be a holomorphic vector bundle over a Kählerian manifold, try to find  $(\underline{H}, \underline{\omega})$  where  $H$  is a Hermitian metric on  $E$  and  $\omega$  a Kähler metric on  $X$ , s.t.

$$\begin{cases} \Lambda_{\omega} F_H = z \\ \alpha_0 \underline{S}_{\omega} + \alpha_1 \Lambda_{\omega}^2 (\underline{F}_H \wedge \underline{F}_H) = c. \end{cases}$$

HYM

Donaldson,  
Uhlenbeck  
Yau.

where  $F_H$  is the curvature of the Chern connection for  $H$ , and  $S_{\omega}$  is the scalar curvature of  $\omega$ .

# Moment map interpretation

There are two well-known problem::

- A. Hermitian-Yang-Mills connection is zero of a moment map for  $\mathcal{G} \curvearrowright (\mathcal{A}^{1,1}, \omega_{\mathcal{A}})$  by [Atiyah-Bott, Donaldson];
- B. Constant scalar curvature Kähler metric is zero of a moment map for  $\mathcal{H} \curvearrowright (\mathcal{J}^{int}, \omega_{\mathcal{J}})$  by [Fujiki, Donaldson]; "YTD"

Coupling together these two, Álvarez-Cónsul, Garcia-Fernandez and Garcia-Prada studied  $\tilde{\mathcal{G}} \curvearrowright (\mathcal{P}, \omega_{\alpha})$ , where

- $\mathcal{P} = \{(J, A) \in \mathcal{J}^{int} \times \mathcal{A} \mid F_A^{0,2} = 0\}$ ;
- $\omega_{\alpha} = \alpha_0 \omega_{\mathcal{J}} + \alpha_1 \omega_{\mathcal{A}}$ ;
- $\tilde{\mathcal{G}}$  is the extended gauge group,

$$1 \rightarrow \mathcal{G} \rightarrow \tilde{\mathcal{G}} \rightarrow \mathcal{H} \rightarrow 1.$$

full gauge group.
 $\tilde{\mathcal{G}}^c$

The zero moment map equation of this action is KYM equation.

# Gravitating Vortex equations

Assume the rank 2 holomorphic vector bundle  $E \rightarrow \Sigma \times \mathbb{P}^1$  comes from extension of holomorphic line bundles, i.e. assume  $\bar{E}$  is an extension of  $L$  on  $\Sigma$  and  $\mathcal{O}_{\mathbb{P}^1}(2)$  determined by  $\phi \in H^0(\Sigma, L)$ :

$$0 \rightarrow p_1^*L \rightarrow E \rightarrow p_2^*\mathcal{O}_{\mathbb{P}^1}(2) \rightarrow 0,$$

then the  $SU(2)$ -invariant KYM solution is equivalent to **Gravitating Vortex equations**, which therefore also has a moment map interpretation too [AC-GF-GP, 17'].

Here,

$$\omega_\tau = p_1^*\omega + \frac{4}{\tau} \omega_{FS} \quad (\omega, \phi).$$

X  
=

$\phi=0 \Rightarrow E = L \oplus \mathcal{O}(2)$

# Einstein-Maxwell-Higgs Model

Physical meaning: describe how gravity and electro-magnetic field interacts

Let  $M$  be a 4-manifold,  $L \rightarrow M$  a Hermitian line bundle. Consider the action

$$S(g, A, \phi) = \int_M \left( \frac{R_g}{16\pi G} + \mathcal{L} \right) \text{dvol}_g,$$

↑ ↑ ↑

Hilbert-Einstein

where

- $g$  is Lorentzian of signature  $(-, +, +, +)$  on  $M$ ,  $A$  is a unitary connection on  $L$ , and  $\phi$  is a section of  $L$ ;

- 

$$\mathcal{L} = \frac{1}{4} |F_A|^2 + \frac{1}{2} |D_A \phi|^2 + \frac{1}{8} (|\phi|^2 - \tau)^2.$$

↓ potential energy.

Euler-Lagrange equation: Einstein-Maxwell-Higgs equations.

# Bogomol'nyi reduction

$M = \mathbb{R}^{1,1} \times \Sigma$ ,  $L, A, \phi$  are pulled back from  $\Sigma$ , and  $g = -dt^2 + dz^2 + g_\Sigma$ . The EMH equations are equivalent [Comtet-Gibbons, Linet, Yang] to a system of Bogomol'nyi self-dual equations. It admits vortex like solutions. (Physically known as cosmic strings, gives a potential explanation of galaxy formation in the early universe proposed by physicist T. Kibble.)

ASD

cosmic string



Figure: T. Kibble & Cosmic strings, Photo source: website

# Einstein-Bogomol'nyi equation

The mathematical treatment started from Y. Yang in 1990's. It becomes the following PDEs for  $(g, u)$ :

$$\begin{cases} \Delta_g u = (e^u - \tau) + 4\pi \sum_{j=1}^d n_j \delta_{p_j}, \\ K_g = -\alpha [\tau(e^u - \tau) - \Delta_g e^u], \end{cases} \quad (1)$$

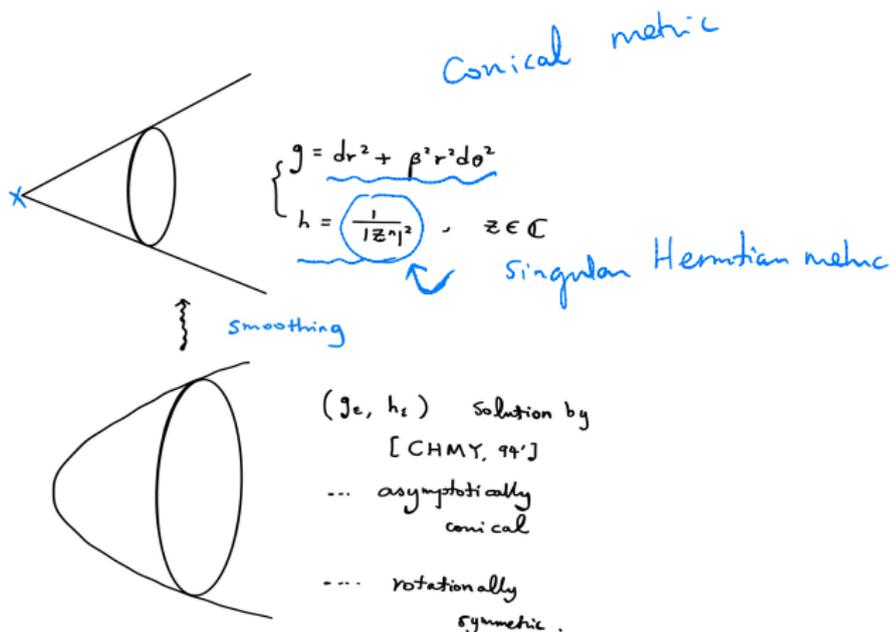
Surface

and could be combined to one single semilinear PDE (with  $\alpha = \frac{1}{\tau N}$ ) with an undetermined parameter (see below).

- $\alpha$  is the coupling constant;
  - $\tau$  is the symmetry-breaking scale;
  - $p_j$ 's are the location of the strings, and  $n_j$  are local string numbers.
- ⇒ 1 Equation

# Some model solutions

A singular solution, and its smoothing obtained by  
[Chen-Hastings-Mcleod-Yang, 94]:



# EB equation recast as GV equations

The above EB equation fits into the following more general PDE system:

$$\begin{cases} iF_h + \frac{1}{2}(|\phi|_h^2 - \tau)\omega = 0, \\ S_\omega + \alpha(\Delta_\omega + \tau)(|\phi|_h^2 - \tau) = c_\alpha, \end{cases} \quad (2)$$

Handwritten annotations:  $(L, h)$  and  $(\Sigma, \omega)$  with arrows pointing to the variables in the equations. A blue oval encloses the entire system. A red arrow labeled "vortex" points to the first equation. Red circles highlight  $iF_h$ ,  $\omega$ , and  $c_\alpha$ . Red arrows point from  $\omega$  and  $c_\alpha$  to the text below.

called the GV equations (when  $c_\alpha = 0$ , we recover EB equation).

The unknowns are  $(\omega, h)$  where  $\omega$  is a Kähler metric on  $\Sigma$  and  $h$  is a Hermitian metric on the holomorphic line bundle  $L$ ,  $\phi$  is a holomorphic section (called the Higgs field).

**KEY DIFFERENCE:** EB is one PDE while GV is a system of two PDEs for  $c_\alpha \neq 0$ !

# Vortex Equation

The first equation in the above system is called the **Vortex equation**, studied throughly by [Jaffe-Taubes, Witten, Noguchi, Bradlow, Garcia-Prada,....].

On  $\mathbb{C}$ , the unique solution obtained by Li [JGA. 2018]

$$\Delta w = e^w - |\phi|^2 e^{-(k-1)w}$$

gives Vortex solution  $h = e^{-kw}$  for the data  $((\mathbb{C}, \sigma = e^w |dz|^2), L = K_{\mathbb{C}}^k, \phi = \phi(dz)^{\otimes k})$ .

It is proved on compact Riemann surface  $\Sigma$ , for any given Kähler metric  $\omega$  with  $\text{Vol}_{\omega} \geq \frac{4\pi c_1(L)}{\tau}$ , there exists a unique  $h$  solving it for any  $\phi \in H^0(\Sigma, L)$ .  $\Rightarrow \phi = 0$

The solvability does not depend on  $\phi$ . (In Garcia-Prada's proof of relating this vortex equation to Hermitian-Yang-Mills connections, the boxed numerical condition is the *slope stability condition*.)

## II. Gravitating Vortex equations

Previous known results: About  $c_\alpha \geq 0$

The constant  $c_\alpha = \frac{2\pi(\chi(\Sigma) - 2\alpha\tau N)}{\text{Vol}_\omega}$ , is topologically determined.  
And,  $c_\alpha \geq 0$  implies  $\Sigma = \mathbb{P}^1$ .

### Theorem (Yang)

- ① (97') Let  $\phi$  be strictly polystable. Then,  $\forall V > \frac{4\pi c_1(L)}{\tau} \exists$  a solution  $(\omega, h)$  to the EB equation with  $\text{Vol}_\omega = V$ .
- ② (95') Let  $\phi$  be stable. Then,  $\forall V > \frac{4\pi N}{\tau} \exists$  a solution  $(\omega, h)$  to the EB equation satisfying  $\text{Vol}_\omega > V$ .

A converse was proved recently.

### Theorem (AC-GF-GP-P, 20')

The existence of solution to GV equations with  $c_\alpha \geq 0$  implies  $\phi$  is polystable.

# Stability of binary quantics

The holomorphic section  $\phi$  is homogeneous polynomial of degree  $N$  in variable  $z_0, z_1$ . It is one of the main themes of classical invariant theory, in 19th century.

It is also the most basic example of Mumford's Geometric Invariant Theory. Let  $(\phi = 0) = \sum_{j=1}^d n_j p_j \in \text{Sym}^N(\mathbb{P}^1)$ ,

- $\phi$  is strictly polystable if  $d = 2$  and  $n_1 = n_2 = \frac{N}{2}$ ;
- $\phi$  is stable if  $n_j < \frac{N}{2}$  for  $j = 1, 2, \dots, d$ .

$$\underline{SL(2, \mathbb{C})} \sim S^N(\mathbb{P}^1)$$

$$\underline{S^N(\mathbb{P}^1) / SL(2, \mathbb{C})} \quad ?$$

# Questions left

The stability condition was a technical assumption in Yang's proof, its PDE is

$$\Delta f_\lambda = \frac{1}{2\lambda} (\tau - |\phi|^2 e^{2f_\lambda}) e^{4\alpha\tau f_\lambda - 2\alpha|\phi|^2 e^{2f_\lambda}} - N,$$

where the assumption on the multiplicities of zeros of  $\phi$  enables one to construct super/subsolutions (cf. also [Han-Sohn, 19']).

Using the moment map picture, [AC-GF-GP-P, 20'] showed the necessity! Some *questions* are left:

- Existence of solution to EB equation for arbitrary admissible volume  $V \in (\frac{4\pi N}{\tau}, +\infty)$ ;
- Existence of solutions to GV equations for  $\alpha \in (0, \frac{1}{\tau N}]$ ;
- Uniqueness of solutions.

$$\begin{aligned} & \alpha = 0 \\ & \alpha > 0 \end{aligned}$$

### III. Main Theorems

The first result strengthens Yang's existence theorem, confirming question a) above:

**Theorem (Garcia-Fernandez, Pingali & Y., 21')**

Let  $\phi$  be polystable. Then  $\forall V > \frac{4\pi N}{\tau}$ ,  $\exists$  a solution to the EB equation with  $\text{Vol}_\omega = V$ .

Then, we prove a similar existence result for  $c_\alpha > 0$ , answering b):

**Theorem (ibid.)**

Let  $\phi$  be polystable,  $\alpha \in (0, \frac{1}{\tau N}]$ . Then,  $\forall V > \frac{4\pi N}{\tau}$ ,  $\exists$  a solution  $(\omega, h)$  to the GV equations with  $\text{Vol}_\omega = V$ .

# Outline of proof

The two theorems are proved via the same strategy, only two crucial differences in a priori estimates.

**Step1 (set up the continuity method):** Starting from one of Yang's solution  $(\omega_0, h_0)$  for EB equation. Then

$(\tilde{\omega}_0, \tilde{h}_0) = \left( \frac{2\pi}{\text{Vol}_{\omega_0}} \omega_0, h_0 \right)$  is a solution to the following rescaled system with parameter  $\varepsilon = \varepsilon_0 = \frac{2\pi}{\text{Vol}_{\omega_0}}$ :

*Volume*  $\varepsilon = \varepsilon_0 = \frac{2\pi}{\text{Vol}_{\omega_0}}$

$$\begin{cases} iF_{\tilde{h}} + \frac{1}{2\varepsilon} (|\phi|_h^2 - \tau) \tilde{\omega} = 0, \\ S_{\tilde{\omega}} + \alpha \left( \Delta_{\tilde{\omega}} + \frac{\tau}{\varepsilon} \right) (|\phi|_h^2 - \tau) = 0. \end{cases} \quad (3)$$

Solve the system for  $(\tilde{\omega}, \tilde{h}) \in (\mathcal{H}_{\omega_{FS}}, \mathcal{H}_L)$  and  $\varepsilon \in (0, \frac{\tau}{2N})$ .

$\text{Vol} > \frac{4\pi N}{\tau}$

**Step2 (openness):** Kernel of the linearized operator  $\mathcal{L} : (C^\infty/\mathbb{R}) \times C^\infty \rightarrow C^\infty \times C^\infty$  corresponds to

$$\text{Aut}(\mathbb{P}^1, L, \phi)$$

which is  $\mathbb{C}^* \times \mathbb{C}^*$  in case  $\phi$  is strictly polystable, and is  $\{1\}$  in case  $\phi$  is stable.

$\mathbb{P}^1$

**Step 3 (closedness):** Let  $\Phi = |\phi|_h^2$  and  $k = e^{2\alpha\Phi}g$  be conformally rescaled metric, then there holds a priori estimates:

- $0 \leq \Phi \leq \tau$ ,
- $c_\alpha e^{-2\alpha\tau} \leq S_k \leq c_\alpha + \alpha\tau^2$ , notice the following formula for a solution  $(\omega, h)$ :

$$S_g = 2\alpha|d_A\phi|^2 + \alpha(\tau - |\phi|_h^2)^2,$$

- $|\nabla_k S_k|_k^2 \leq \frac{3}{2}\alpha\tau^2 (2c_\alpha + 2\alpha\tau^2 + \tau)^2$ ,
- $\text{Diam}(g) \leq C$  for uniform  $C > 0$  (the proofs about EB equation and GV equations diverge at this point).

*Handwritten notes:*  
 •  $\phi$  strict plurisubharmonic  
ODE

For a family of solutions  $(g_n, h_n)$ , we can get a subsequential  $C^{2,\beta}$  Cheeger-Gromov limit, i.e.  $\exists$  diffeomorphism  $\varphi_n : S^2 \rightarrow S^2$  s.t.

$\varphi_n^* k_n \rightarrow k_\infty$ , in  $C^{2,\beta}$  sense.

inj. radius

*Handwritten notes:*  
 • N odd  
 5.

Using uniqueness of almost complex structure on  $S^2$  (i.e. any two  $C^{2,\beta}$  almost complex structure on  $S^2$  are related by an  $C^{3,\beta}$  diffeomorphism) to improve the sequence  $\varphi_n$  to **holomorphic automorphism**  $\sigma_n \in PSL(2, \mathbb{C})$ , and

State function

$$k'_n = \sigma_n^* k_n \rightarrow k'_\infty, \text{ in } C^{2,\beta} \text{ sense.}$$

- $\|\log \Phi'_n - 4\pi G'_n\|_{C^0(\mathbb{P}^1)} \leq C$ , where  $\Phi'_n = \sigma_n^* \Phi_n$  and  $G'_n$  is the Green's function for the metric  $k'_n$  with poles  $\sigma_n^*[\phi = 0]$ .

This results in  $\sigma_n^*(g_n, \Phi_n) \rightarrow_{C^{1,\beta}} (g'_\infty, \Phi'_\infty)$ .

Moreover,  $\Phi'_\infty$  satisfies

$$\Delta_{g'_\infty} \log \Phi'_\infty = (\tau - \Phi'_\infty) - 4\pi \sum_j n_j \delta_{p'_{j,\infty}} \quad (4)$$

$$S_{g'_\infty} + \alpha(\Delta_{g'_\infty} + \tau)(\Phi'_\infty - \tau) = c_\alpha.$$

Another regularity result shows that  $(g'_\infty, \Phi'_\infty)$  is solution to the GV equations on  $\mathbb{P}^1$  with the Higgs field  $\phi'_\infty$  determined by  $\sum_j n_j p'_{j,\infty}$ .

Finally,  $\phi'_\infty$  is polystable by the above mentioned result of AC-GF-GP-P, and  $\phi'_\infty = \lim_{n \rightarrow \infty} \sigma_n^* \phi \in \overline{PSL(2, \mathbb{C}) \cdot \phi}$ . Since  $\phi$  is also polystable, we conclude that  $\phi'_\infty \in \overline{PSL(2, \mathbb{C}) \cdot \phi}$ .

## Further results and directions



Recent progresses: fixing  $\phi$ , look at how does the solution to EB equation behave as

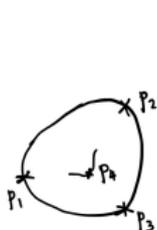
- i.  $V \rightarrow \frac{4\pi N}{\tau}$ , the family exhibits a Bradlow/Dissolving limit feature as in the study of Vortex equations:

$$h = h_0 e^{2f} \rightarrow 0, \quad \text{i.e. } f \rightarrow -\infty \text{ uniformly;}$$

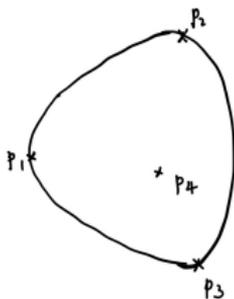
$$\omega \rightarrow \frac{2N}{\tau} \omega_{FS} \quad \text{in some sense.}$$

- ii.  $V \rightarrow +\infty$ , the family of rescaled solution converges to flat conical metric on  $\mathbb{P}^1$  (polyhedron metrics).

# Large Volume Limit



$(\omega_1, h_1)$

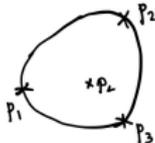


$(\omega_2, h_2)$

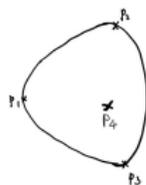
→ ..... ?

.....  $\text{Vol}(\omega_2) \rightarrow +\infty$

Volume normalizing



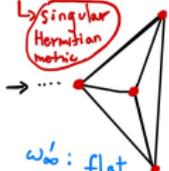
$(\omega'_1, h_1)$



$(\omega'_2, h_2)$

$h_{\infty} : i F_{h_{\infty}} = 2\pi \sum_j [p_j]$

↳ singular Hermitian metric



$\omega'_{\infty}$ : flat metric, cone angle  $2\pi(1 - \frac{2n_j}{N})$  at  $p_j$ .

Directions: study *uniqueness* and “Weil-Petersen type” metric on the **conjectured** moduli space of Einstein-Bogomol’nyi solutions/Gravitating Vortices

$$\mathfrak{M}_\alpha = \text{Sym}^N(\mathbb{P}^1) // \text{PSL}(2, \mathbb{C}).$$

“GIT”

Thank you for your attention!