

# Directed Anosov and weakly positive representations

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# Singular values and symmetric spaces

- Let  $V$  be an  $n$ -dimensional real vector space.

## Fact

*Choose an inner product on  $V$ . For any linear map  $L : V \rightarrow V$ , there are orthonormal bases*

$$(e_1, \dots, e_n) \text{ and } (f_1, \dots, f_n)$$

*of  $V$  such that for all  $k = 1, \dots, n$ ,  $L(e_k) = c_k f_k$  for some real number  $c_k \geq 0$ , and  $c_1 \geq \dots \geq c_n$ .*

- We refer to  $c_k$  as the  $k$ -th singular value of  $L$ .
- If  $L$  is invertible, then  $c_n > 0$ .

# Singular values and symmetric spaces

- Let  $\mathrm{PGL}(V) := \mathrm{GL}(V)/\mathbb{R}^\times$
- The  $\mathrm{PGL}(V)$ -Riemannian symmetric space is

$$X := \{\text{inner products on } V\}/\mathbb{R}^\times.$$

Let  $d_X : X \times X \rightarrow \mathbb{R}$  be the distance function on  $X$ .

- For any point  $o \in X$ , choose an inner product on  $V$  representing  $o$ .
- For any  $g \in \mathrm{PGL}(V)$ , let  $\sigma_k(g)$  denote the  $k$ -th singular value of a volume-preserving representative of  $g$ .

## Fact

For any  $g \in \mathrm{PGL}(V)$ ,

$$d_X(g \cdot o, o) = \sqrt{\sum_{k=1}^{n-1} \left( \log \frac{\sigma_k}{\sigma_{k+1}}(g) \right)^2}.$$

# Anosov representations

- Let  $\Gamma$  be a group generated by a finite set  $S \subset \Gamma$   $d(\gamma_1, \gamma_2)$
- Equip  $\Gamma$  with the word metric associated to  $S \cup S^{-1}$ .  $\leftarrow$  word length of  $\gamma_2^{-1}\gamma_1$   
w.r.t.  $S \cup S^{-1}$
- A geodesic ray  $(\gamma_i)_{i \geq 0}$  in  $\Gamma$  is *rooted* if  $\gamma_0 = \text{id}$ .

**Definition**  $d(\gamma_i, \gamma_j) = |j - i|$

Fix a point  $o \in X$ . A representation  $\rho : \Gamma \rightarrow \text{PGL}(V)$  is (Borel) *Anosov* if there are constants  $\kappa, \kappa' > 0$  such that

$$\log \frac{\sigma_k}{\sigma_{k+1}} \rho(\gamma_i) \geq \kappa i - \kappa' \leftarrow \text{Anosov condition.}$$

for all  $k = 1, \dots, n-1$  and all rooted, geodesic rays  $(\gamma_i)_{i \geq 0}$  in  $\Gamma$ .

- Anosovness of a representation does not depend on  $S$  or  $o$ .
- There is a constant  $\kappa'' > 0$  such that

$$\log \frac{\sigma_k}{\sigma_{k+1}} \rho(\gamma_i) \leq \kappa'' i.$$

Thus, we are requiring  $\log \frac{\sigma_k}{\sigma_{k+1}} \rho(\gamma_i)$  to grow uniformly linearly along rooted geodesic rays.

# Anosov representations

- If  $V = \mathbb{R}^2$ , then  $X = \mathbb{H}^2$  and

$$d_X(g \cdot o, o) = \log \frac{\sigma_1}{\sigma_2}(g)$$

for all  $g \in \mathrm{PGL}(2, \mathbb{R})$ .

- As such,  $\rho : \Gamma \rightarrow \mathrm{PGL}(2, \mathbb{R})$  is Anosov if and only if the orbit map  $\Gamma \rightarrow X$  given by  $\gamma \mapsto \rho(\gamma) \cdot o$  sends every rooted geodesic ray in  $\Gamma$  to a uniform quasi-geodesic ray in  $X$ .
- This is in turn equivalent to requiring that the orbit map is a quasi-isometric embedding.
- More generally, if  $G$  is a semisimple rank 1 Lie group of non-compact type, then  $\rho : \Gamma \rightarrow G$  is Anosov if and only if the orbit map  $\Gamma \rightarrow X$  is a quasi-isometric embedding. Classically, these are known as *convex-cocompact representations*.

# Anosov representations

(Labourie, Guichard-Wienhard, Kapovich-Leeb-Porti, GGW, Bochi-Potrie-Sambarino)

- If  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is Anosov, then *diagonalizable w/ e-values having pairwise distinct absolute values*
  - ① the orbit map  $\Gamma \rightarrow X$  is a quasi-isometric embedding.  $\begin{pmatrix} 1 & 1 \\ & 1 \end{pmatrix} \times$
  - ②  $\rho(\gamma)$  is loxodromic for every infinite order  $\gamma \in \Gamma$ .  $\begin{pmatrix} 1 & 1 \\ & 2 \end{pmatrix} \times$
  - ③  $\Gamma$  is a hyperbolic group.  $\begin{pmatrix} 1 & -1 \\ & 2 \end{pmatrix} \times$
- Anosov representations form an open subset of  $\mathrm{Hom}(\Gamma, \mathrm{PGL}(V))$ .
- Examples of Anosov representations include Hitchin representations, Barbot representations, ping-pong lemma type constructions...
- If we require the Anosov property to hold only for some  $k = 1, \dots, n - 1$ , then examples include maximal representations, Benoist representations...

# Directed Anosov representations

- Recall that  $S$  is a generating set of  $\Gamma$ . A geodesic ray  $(\gamma_i)_{i \geq 0}$  in  $\Gamma$  is  $S$ -directed if  $\gamma_i^{-1}\gamma_{i+1} \in S$  for all  $i$ .

## Example

If  $\Gamma = F_2 = \langle a, b \rangle$  and  $S = \{a, b\}$ , then

$$(a, ab, aba, abab, ababa, \dots)$$

is  $S$ -directed, but

$$(a, ab, aba^{-1}, aba^{-1}b, aba^{-1}ba, \dots)$$

is not  $S$ -directed.

- If  $S = S^{-1}$ , then every geodesic ray is  $S$ -directed.

# Directed Anosov representations

## Definition

Fix a point  $o \in X$ . A representation  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is (Borel) *S-directed Anosov* if there are constants  $\kappa, \kappa' > 0$  such that

$$\log \frac{\sigma_k}{\sigma_{k+1}} \rho(\gamma_i) \geq \kappa i - \kappa'$$

for all  $k = 1, \dots, n-1$  and all rooted,  $S$ -directed or  $S^{-1}$ -directed, geodesic rays  $(\gamma_i)_{i \geq 0}$  in  $\Gamma$ .

- For any generating set  $S$ , every Anosov representation is  $S$ -directed Anosov, and the converse is true if  $S = S^{-1}$ .
- If  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is  $S$ -directed Anosov, then
  - ① the orbit map  $\Gamma \rightarrow X$  sends rooted,  $S$ -directed and  $S^{-1}$ -directed geodesic rays to uniform quasi-geodesic rays in  $X$ .
  - ②  $\rho(\gamma)$  is loxodromic for every infinite order element  $\gamma \in \Gamma$  that is a product of elements in  $S$ .
- $S$ -directed Anosov representations might not have discrete image.



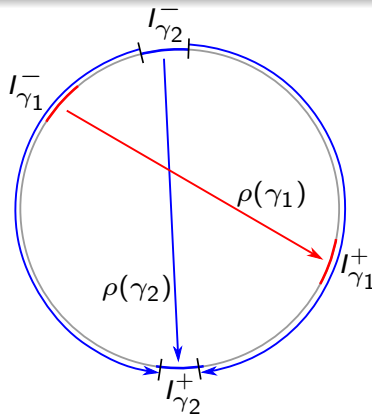
# The ping-pong lemma in $\mathbb{RP}^1$ .

## Proposition (The ping-pong lemma in $\mathbb{RP}^1$ )

Let  $\rho : \Gamma \rightarrow \mathrm{PGL}(2, \mathbb{R})$  be a representation, and let  $S \subset \Gamma$  be a finite generating set. Suppose that for each  $\gamma \in S$ , there are open intervals  $I_\gamma^+, I_\gamma^- \subset \partial\mathbb{H}^2 = \mathbb{RP}^1$  such that

- the intervals  $\bigcup_{\gamma \in S} \{I_\gamma^+, I_\gamma^-\}$  have pairwise disjoint closures, and
- $\rho(\gamma) \cdot (\mathbb{RP}^1 - I_\gamma^-) \subset I_\gamma^+$  for all  $\gamma \in S$ .

Then  $\Gamma$  is the free group generated by  $S$ , and  $\rho$  is an Anosov representation.



$$S = \langle \gamma_1, \gamma_2 \rangle$$

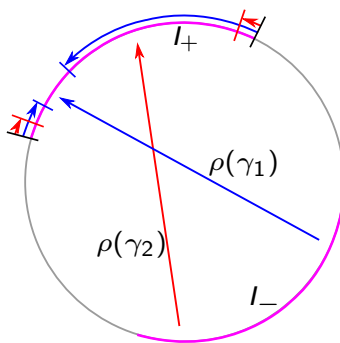
# Special case of main theorem

## Proposition (Main theorem specialized to $\mathbb{RP}^1$ )

Let  $\rho : \Gamma \rightarrow \mathrm{PGL}(2, \mathbb{R})$  be a representation, and let  $S \subset \Gamma$  be a finite generating set. Suppose that there are open intervals  $I^+, I^- \subset \partial \mathbb{H}^2$  such that

- $I^+$  and  $I^-$  have disjoint closures,
- $\rho(\gamma) \cdot \overline{I^+} \subset I^+$  and  $\rho(\gamma^{-1}) \cdot \overline{I^-} \subset I^-$  for all  $\gamma \in S$ .

Then  $\rho$  is  $S$ -directed Anosov.



$$\Gamma = \mathbb{F}_2 = \langle \gamma_1, \gamma_2 \rangle$$

$$S = \{\gamma_1, \gamma_2\}$$

# Fock-Goncharov positivity

## Definition (Lusztig)

Let  $\mathcal{B}$  denote an (ordered) basis of  $V$ . Let  $U_{>0}(\mathcal{B})$  denote the set of unipotent elements  $u \in \mathrm{PGL}(V)$  that are represented in  $\mathcal{B}$  by an upper triangular matrix  $M_u$  whose minors are positive unless they are forced to be zero by virtue of  $M_u$  being upper triangular.

$$\begin{pmatrix} 1 & * & * & * & * \\ 0 & 1 & * & * & * \\ 0 & 0 & 1 & * & * \\ 0 & 0 & 0 & 1 & * \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

# Fock-Goncharov positivity

- A (*complete*) flag  $F$  of  $V$  is a nested sequence of subspaces

$$0 = F^{(0)} \subsetneq F^{(1)} \subsetneq \dots \subsetneq F^{(n-1)} \subsetneq F^{(n)} = V.$$

Denote the set of flags in  $V$  by  $\mathcal{F}(V)$ .

- A pair of flags  $(F_1, F_2)$  in  $\mathcal{F}(V)$  are *transverse* if  $F_1^{(i)} \cap F_2^{(n-i)} = \{0\}$  for all  $i = 1, \dots, n-1$ .
- A basis  $(e_1, \dots, e_n)$  of  $V$  is *associated* to a pair of transverse flags  $(F_1, F_2)$  if  $e_i \in F_1^{(i)} \cap F_2^{(n-i+1)}$  for all  $i = 1, \dots, n$ .

## Definition (Fock-Goncharov)

A tuple of flags  $(F_1, \dots, F_k)$  is *positive* if  $(F_1, F_k)$  is a transverse pair of flags, and there is

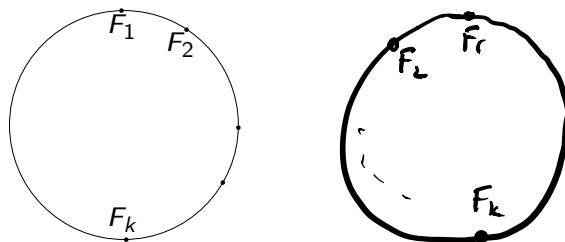
- a basis  $\mathcal{B}$  associated to  $(F_1, F_k)$ , and
- elements  $u_2, \dots, u_{k-1} \in U_{>0}(\mathcal{B})$

such that  $F_i = u_i \dots u_{k-1} \cdot F_k$  for all  $i = 2, \dots, k-1$ .

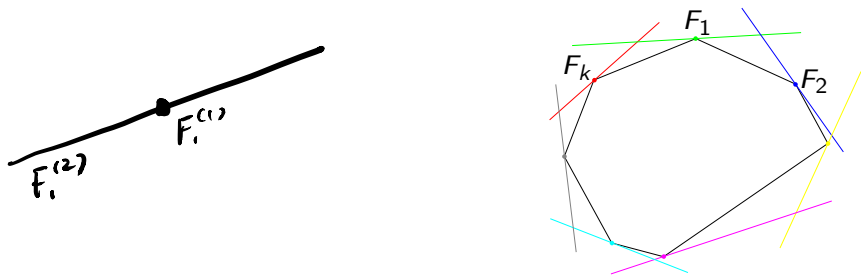
# Fock-Goncharov positivity

## Example

- $(F_1, \dots, F_k) \in \mathcal{F}(\mathbb{R}^2) = \mathbb{RP}^1$  is positive if and only if  $F_1 < F_2 < \dots < F_k$  in one of the two cyclic orders on  $\mathbb{RP}^1$ .



- $(F_1, \dots, F_k) \in \mathcal{F}(\mathbb{R}^3)$  is positive if and only if there is a pair of convex  $k$ -gons  $P_1, P_2$  in an affine chart  $\mathbb{A}^2 \subset \mathbb{RP}^2$  such that  $P_1$  is inscribed in  $P_2$ , the vertices of  $P_1$  are  $F_1^{(1)}, \dots, F_k^{(1)}$ , and the edges of  $P_2$  are  $F_1^{(2)}, \dots, F_k^{(2)}$  in either cyclic order.

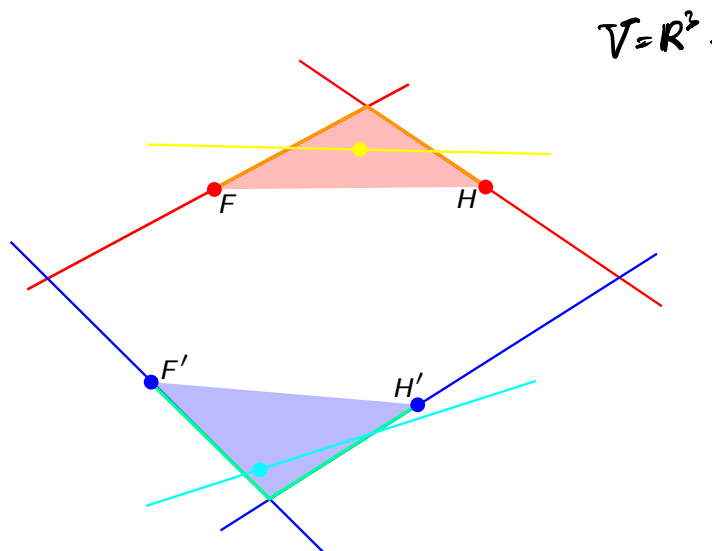
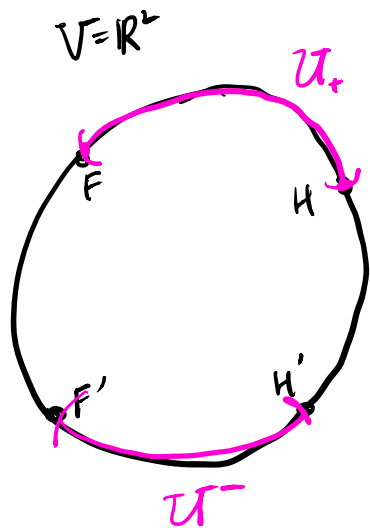


# Fock-Goncharov positivity

- The forward domain (resp. backward domain) of a positive quadruple of flags  $(F', F, H, H')$  is

$$\mathcal{U}_+ := \{K \in \mathcal{F}(V) : (F', F, K, H, H') \text{ is positive}\}$$

(resp.  $\mathcal{U}_- := \{K \in \mathcal{F}(V) : (K, F', F, H, H') \text{ is positive}\}$ ).



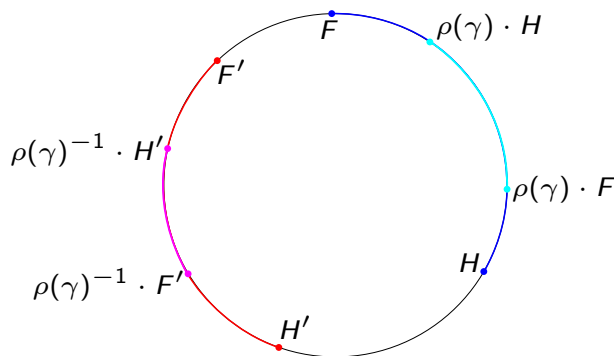
- These are open sets in  $\mathcal{F}(V)$ .

# Weakly positive representations

## Definition

A representation  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is *S-weakly positive* if there is a positive quadruple of flags  $(F', F, H, H')$  such that:

- For all  $\gamma \in S$ ,  $\rho(\gamma) \cdot \overline{\mathfrak{U}^+} \subset \mathfrak{U}^+$  and  $\rho(\gamma)^{-1} \cdot \overline{\mathfrak{U}^-} \subset \mathfrak{U}^-$ ,
- For all  $\gamma \in S$ , the tuple  $(F', F, \rho(\gamma) \cdot F, \rho(\gamma) \cdot H, H, H')$  is positive up to switching  $\rho(\gamma) \cdot F$  and  $\rho(\gamma) \cdot H$ ,
- For all  $\gamma \in S$ , the tuple  $(\rho(\gamma)^{-1} \cdot F', F', F, H, H', \rho(\gamma)^{-1} \cdot H')$  is positive up to switching  $\rho(\gamma)^{-1} \cdot F'$  and  $\rho(\gamma)^{-1} \cdot H'$ .



We refer to  $(F', F, H, H')$  as a *separator* of  $\rho$ .

# Weakly positive representations

## Theorem (Main Theorem)

*If  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is  $S$ -weakly positive, then it is  $S$ -directed Anosov.*

- Suppose  $S \subset \Gamma$  and the elements in  $\rho(S)$  are given.
- Verifying that  $\rho$  is  $S$ -directed Anosov requires checking infinitely many conditions.
- However, given a candidate separator, verifying that  $\rho$  is  $S$ -weakly positive with respect to the given separator requires checking only finitely many conditions.



# Primitive stable representations

- Let  $S \subset F_d$  be a minimal generating set, and equip  $F_d$  with the metric associated to  $S \cup S^{-1}$ .
  - An element  $\gamma \in F_d$  is *primitive* if it is a member of a minimal generating set of  $F_d$ .
  - A geodesic in  $F_d$  is *primitive* if it is invariant under the left action by a primitive element in  $F_d$ .
  - A geodesic ray in  $F_d$  is *primitive* if it lies in a primitive geodesic in  $F_d$ .
- $\text{Out}(F_d) \curvearrowright \text{Hom}(F_d, \text{PGL}(V)) \supseteq \{\text{primitive stable?}\}_{\text{open}}$

Definition (Minsky, Guichard-Gueritaud-Kassel-Wienhard)

Fix a point  $o \in X$ . A representation  $\rho : F_d \rightarrow \text{PGL}(V)$  is (Borel) *primitive stable* if there are constants  $\kappa, \kappa' > 0$  such that

$$\log \frac{\sigma_k}{\sigma_{k+1}} \rho(\gamma_i) \geq \kappa i - \kappa'$$

for all  $k = 1, \dots, n-1$  and all rooted, primitive geodesic rays  $(\gamma_i)_{i \geq 0}$  in  $F_d$ .

# Primitive stable representations

- Let  $S = \{\gamma_1, \gamma_2\}$  generate  $F_2$ , and let  $\gamma_3 := \gamma_2^{-1}\gamma_1^{-1}$ .
- Let  $S' := \{\gamma_1^{-1}, \gamma_2\}$ ,  $S'' := \{\gamma_2^{-1}, \gamma_3\}$  and  $S''' := \{\gamma_3^{-1}, \gamma_1\}$ .

## Proposition (Using Cohen-Metzler-Zimmermann)

*If  $\rho : F_2 \rightarrow \mathrm{PGL}(V)$  is  $(S, S')$ -weakly positive or  $(S', S'', S''')$ -weakly positive, the  $\rho$  is primitive stable.*

## Proposition (Using Goldman-McShane-Stantchev-Tan)

*If  $\rho : F_2 \rightarrow \mathrm{PGL}(2, \mathbb{R})$  is primitive stable, then it is  $(S', S'', S''')$ -weakly positive for some generating pair  $S$  of  $F_2$ .*

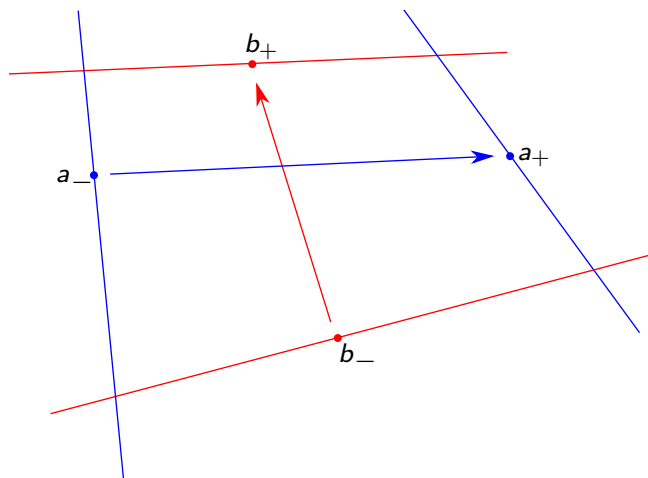
*Conj:  $\exists \rho : F_3 \rightarrow \mathrm{PGL}_2(\mathbb{R})$  that is primitive stable but not convex compact.*

# Primitive stable representations

- An element  $g \in \mathrm{PGL}(V)$  is *positive loxodromic* if it is loxodromic and all its eigenvalues have the same sign.
- For any loxodromic  $g \in \mathrm{PGL}(V)$ , let  $g_+$  and  $g_-$  respectively denote the attracting and repelling fixed flag in  $\mathcal{F}(V)$  of  $g$ .

## Proposition

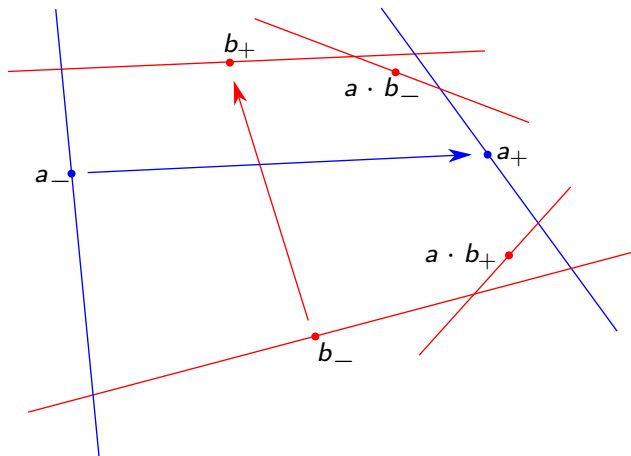
Let  $\{\gamma_1, \gamma_2\}$  be a generating set for  $F_2$ , and  $\rho : F_2 \rightarrow \mathrm{PGL}_3(\mathbb{R})$  be a representation. If  $a := \rho(\gamma_1)$ ,  $b := \rho(\gamma_2)$  are positive loxodromic, and  $(b_-, a_+, b_+, a_-)$  is positive, then  $\rho$  is primitive stable.



# Primitive stable representations

## Proposition

*Let  $\{\gamma_1, \gamma_2\}$  be a generating set for  $F_2$ , and let  $\rho : F_2 \rightarrow \mathrm{PGL}(V)$  be a representation. If  $a := \rho(\gamma_1)$  is loxodromic,  $b := \rho(\gamma_2)$  is positive loxodromic, and  $(b_-, a \cdot b_-, a_+, a \cdot b_+, b_+, a_-)$  is positive up to switching  $a \cdot b_-$  and  $a \cdot b_+$ , then  $\rho$  is primitive stable.*



- This gives many new, explicit examples of primitive stable representations from  $F_2$  to  $\mathrm{PGL}(V)$ , including non-discrete and non-faithful examples.

# Proof of Main Theorem

The proof has two parts that are related by the following definition.

## Definition

Let  $\mathcal{W}$  be a collection of sequences in  $\mathrm{PGL}(V)$ , and fix  $o \in X$ .

- ①  $\mathcal{W}$  is *uniformly well-behaved* if there is a constant  $C > 0$  such that for any sequence  $(g_i)_{i \geq 0} \in \mathcal{W}$ ,
  - there is a maximal flat  $F \subset X$  such that  $d_X(g_i \cdot o, F) < C$  for all  $i \geq 0$ .
  - $d_X(g_i \cdot o, g_{i+1} \cdot o) < C$  for all  $i \geq 0$ .
- ②  $\mathcal{W}$  is *regulated* if for every  $D > 0$ , there is an integer  $N(D) > 0$  such that

$$\log \frac{\sigma_k}{\sigma_{k+1}}(g_i) \geq D$$

for all sequences  $(g_i)_{i \geq 0} \in \mathcal{W}$  and all integers  $i \geq N(D)$ , and  $k = 1, \dots, n-1$ .

# Proof of Main Theorem (Symmetric space part)

## Theorem (Symmetric space part)

*Fix  $o \in X$ . If  $\mathcal{W}$  is a collection of sequences in  $\mathrm{PGL}(V)$  that is uniformly well-behaved and regulated, then there exists constants  $\kappa, \kappa' > 0$  such that*

$$\log \frac{\sigma_k}{\sigma_{k+1}}(g_i) \geq \kappa i - \kappa'$$

*for all  $k = 1, \dots, n - 1$  and all sequences  $(g_i)_{i \geq 0}$  in  $\mathcal{W}$ .*

- The proof uses ideas developed by Kapovich-Leeb-Porti in their study of Anosov representations  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  via their induced actions on  $X$ .
- It is now sufficient to show that if  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  is an  $S$ -weakly positive representation, then

$\mathcal{W}_S := \{(\rho(\gamma_i))_{i \geq 0} : (\gamma_i)_{i \geq 0} \text{ is a rooted } S\text{-directed geodesic ray}\}$   
is uniformly well-behaved and regulated.

# Proof of Main Theorem (Positivity part)

Let  $\rho : \Gamma \rightarrow \mathrm{PGL}(V)$  be an  $S$ -weakly positive representation, let  $(F', F, H, H')$  be a separator for  $\rho$  with forward and backward domain  $\mathfrak{U}_+$  and  $\mathfrak{U}_-$  respectively.

**Step 1:** Show that if  $(\gamma_i)_{i \geq 0}$  is a rooted  $S$ -directed geodesic, then

$$(F', F, \rho(\gamma_1) \cdot F, \dots, \rho(\gamma_k) \cdot F, \rho(\gamma_k) \cdot H, \dots, \rho(\gamma_1) \cdot H, H, H')$$

is positive for all  $k \geq 0$ . (Consequence of semigroup property of  $U_{>0}(\mathcal{B})$ .)

**Step 2:** Show that  $\lim_{i \rightarrow \infty} \rho(\gamma_i) \cdot F = \lim_{i \rightarrow \infty} \rho(\gamma_i) \cdot H$ . (Uses a cross ratio argument.)

**Step 3:** Use this to deduce that  $\bigcap_{i=0}^{\infty} \overline{\rho(\gamma_i) \cdot \mathfrak{U}_+}$  is a point. Hence,  $\mathcal{W}_S$  is regulated.

**Step 4:** Show that  $\overline{\mathfrak{U}_+} \times \overline{\mathfrak{U}_-}$  lies in the set of transverse pairs of flags in  $\mathcal{F}(V)$  to deduce that  $\mathcal{W}_S$  is uniformly well-behaved.

The End